

No.: _____



There's only one right way®

Parag Parikh Financial Advisory Services Limited

Great Western Building, 1st Floor, 130-132, Shahid Bhagat Singh Marg, Near Lion Gate, Fort, Mumbai - 400 001. INDIA.
Tel. : 91 22 2284 6555 (7 Lines), Dir. Line : 91 22 22842002, Fax : 91 22 2284 6553 Email : dpservices@ppfas.com Web site : www.ppfas.com

DEMAT ACCOUNT OPENING FORM

FOR INDIVIDUALS ONLY

DP ID :

IN303446

CLIENT ID :

CLIENT Name :

Date :

Ack. No. :

Registered Office:

103, Veena Chambers,
21, Dalal Street, Fort,
Mumbai - 400 001. INDIA.

Corporate Office:

Great Western Building, 1st Floor, 130-132,
S B S Marg, Near Lion Gate, Fort, Mumbai - 400 001. INDIA.
Tel. : 91 22 2284 6555 (7 Lines) Dir. Line: 91 22 2284 2002
Fax : 91 22 2284 6553

General Instructions

1. In case the name on the PAN CARD and the name of the demat account as mentioned in the account opening form differs (even expansion of initials), additional identity & address proof to match the name of the account will have to be enclosed.
2. Signatures on all the pages of the account opening set should be identical.
3. Expired Driving License and Passport should not be submitted.
4. One Passport Size Photographs of each account holder and nominee. Photographs submitted must be recent and color photographs only. Black and White photographs will not be accepted.
5. The names and signatures of the account holders should be exactly in the same order as mentioned on the certificates to be dematerialized and pan card
6. Bank account details should be mentioned in the Account opening Form (Bank Name, Address, A/c No., MICR code)
7. Proof of address of all the demat account holders should be match with the account opening form.
8. In case Correspondence Address is differing from Local/Permanent Address, proof is required to be submitted
9. In case of applications under a Power of Attorney, the relevant Power of Attorney or the certified and duly notarised copy thereof, name of the POA, Signature of the POA, must be lodge with the DP along with the application.
10. All the Holder's of the account will be required to sign all the depository instruction's / Correspondence given to us in future
11. In case of minor Accounts, the guardian should sign across the photograph of the minor

Checklist

Individual	Address Proof (Any one of, as mentioned in Notes - Please refer page No. 6)
Individual	Identity Proof (Any one of, as mentioned in Notes - Please refer page No. 6)

Common Requirements for all types of Account :

1.	Application Form Duly filled & Signed	<input type="checkbox"/>
2.	Agreement duly Signed & witnessed	<input type="checkbox"/>
3.	Schedule of charges – Acceptance by Client	<input type="checkbox"/>
4.	PAN Card is mandatory for all the Beneficiary Holder's	<input type="checkbox"/>
5.	Nomination Form duly filled & signed	<input type="checkbox"/>

Additional Documents Required for the Following Categories :

	<ul style="list-style-type: none"> • NRI (Repatriable) <ul style="list-style-type: none"> <input type="checkbox"/> RBI Approval for acquiring shares / securities <input type="checkbox"/> NRE Bank account details should be mentioned in the Account Opening Form. • NRI (Non - Repatriable) <ul style="list-style-type: none"> <input type="checkbox"/> RBI Approval for acquiring shares / securities <input type="checkbox"/> NRO Bank account details should be mentioned in the A/c opening form • Minor <ul style="list-style-type: none"> <input type="checkbox"/> Guardian's name and guardian's relationship with minor <input type="checkbox"/> Minor's Pancard • HUF <ul style="list-style-type: none"> <input type="checkbox"/> Family Declaration <input type="checkbox"/> Pan number in HUF's name 				
	<input type="checkbox"/> Letter of undertaking not to remit money abroad				
	<input type="checkbox"/> Birth Certificate of the minor and Photograph				
	<input type="checkbox"/> Bank account should be in the name of HUF				

ANNEXURE J
DP ID : IN303446
APPLICATION FOR OPENING AN ACCOUNT
FOR INDIVIDUALS ONLY

I/We request you to open a Depository Account in my/our name(s) as per the following details:
(Please fill all the details in **CAPITAL LETTERS & BLACK INK** only)

Type of Account

<input type="checkbox"/> Ordinary Resident	<input type="checkbox"/> NRI - Repatriable	<input type="checkbox"/> Margin	<input type="checkbox"/> Pramoter
<input type="checkbox"/> HUF	<input type="checkbox"/> NRI - Non - Repatriable	<input type="checkbox"/> Others (Please Specify)	

Sole/First Holder's Details

Name (Mr./Ms.) : _____

Name of Father / Husband : _____

Local / Permanent Address : _____

 _____ Pin Code : _____

Correspondence Address : _____

 _____ Pin Code : _____

Telephone No. : _____ Fax No. : _____

Mobile No. : _____ SMS Facility : Yes No

Email ID : _____

Occupation : _____

Other Holder Details

Second Holder

Name (Mr./Ms.) : _____

Name of Father / Husband : _____

Address : _____

 _____ Pin Code : _____

Telephone No. : _____ Fax No. : _____

Mobile No. : _____ SMS Facility : Yes No

Email ID : _____

Occupation : _____

Third Holder

Name (Mr./Ms.) : _____

Name of Father / Husband : _____

Address : _____

Telephone No. : _____ Pin Code : _____

Mobile No. : _____ Fax No. : _____

SMS Facility : Yes No

Email ID : _____

Occupation : _____

Address for communication / Corporate Benefits (Default option is local address)	Local / Permanent Address <input type="checkbox"/>
	Correspondence Address / Foreign Address <input type="checkbox"/>

Guardian Details (In Case the Sole Holder is a minor)

Name (Mr./Ms.) : _____

Relationship (If Any) : _____

Address : _____

Date of Birth (of minor) : _____ (DD-MM-YYYY) Pin Code : _____

In case of NRIs

Foreign Address : _____

RBI Reference No. : _____

RBI Approval Date. : _____ (DD-MM-YYYY)

Bank Details

Bank Sub-type :

Savings Account

Current Account

Bank Account No. :

Bank Name :

Branch Address :

 Pin Code :

9 - Digit Code number of the bank and branch appearing on the MICR cheque issued by the bank:

Financial Details**P.A.N.****IT Circle/Ward/District No.**

Sole/First Holder :

Second Holder :

Third Holder :

Guardian (In case of minor) :

Additional Financial Details

Financial Status (Annual Income) :

< Rs. 5 Lakh

Rs. 5-10 Lakh

Rs. 10-15 Lakh

> Rs. 15 Lakh

Nature of Business :

Standing Instructions

I/We authorise you to receive credits automatically into my/our account.

Yes

No

Account to be operated through Power of Attorney (PoA)

Yes





No

Please attach recent passport size photographs in the space provided below:

Sole/First Holder	Second Holder	Third Holder
Signature across Photograph	Signature across Photograph	Signature across Photograph

Declaration

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/We further agree that any false / misleading information given by me or suppression of any material fact will render my account liable for termination and further action.

Name(s) of Holder(s)	Signautre(s) (BLACK INK ONLY)
Sole Holder (Mr./ Ms.)	
Second Holder (Mr./ Ms.)	
Third Holder (Mr./ Ms.)	
Guardian (In case of Minor) (Mr./ Ms.)	

Know your Customer & In-person verification

I hereby confirm the identity of the applicants including guardian in case of minor account, by verifying the photographs affixed in the account opening form as well as the proof of identity documents as per NSDL circular with the person concerned. The applicants have affixed their signatures in my presence.

Name of the DP : **Parag Parikh Financial Advisory Services Limited (DP ID: IN303446)**

Name of Employee : _____

Signature of Employee : _____

Date & Place : _____

Signature of the Applicant(s) :		
(1) 	(2) 	(3) 

Nomination Details

I/We do not wish to make a nomination.

I/We wish to make a nomination and do hereby nominate the following person in whom all rights and / or amount payable in respect of securities held in the Depository by me / us in the said beneficiary owner account shall vest in the event of my / our death.

Name of the Nominee (Mr./Ms.) : _____

Relationship with the Applicant (If any) : _____

Address of Nominee : _____

 _____ Pin Code : _____

Date of Birth (in case of minor) : _____ (DD-MM-YYYY)

Signature of Nominee : _____

Name of Guardian (Mr./Ms.)
 (In case Nominee is a minor) : _____

Address of Guardian : _____

 _____ Pin Code : _____

Signature of Guardian : _____

Photograph of
Nominee

Signature of Nominee
across Photograph


Photograph of
Guardian
(In case of Minor)

Signature of Guardian
across Photograph

Signature of two Witnesses

Name	Address	Signature with date
(1)		
(2)		

(To be signed by the applicants)

Sole/First Holder	Second Holder	Third Holder
(1) 	(2) 	(3) 

1. All communication shall be sent at the address of the Sole/First holder only.
2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
3. As per NSDL Circular No. NSDL/PI/2004/1622 dated September 7, 2004 pursuant to SEBI Circular No. MRD/DoP/Dep/Cir-29/2004 dated August 24, 2004 a copy of any one of the following documents may be accepted as proof of identity / proof of address (local/correspondence/foreign address as the case may be):

Proof of Identity: Passport, Voter ID Card, Driving license, PAN card with photograph, Identity card/document with applicant's Photo, issued by a) Central/State Government and its Departments, b) Statutory/Regulatory Authorities, c) Public Sector Undertakings, d) Scheduled Commercial Banks, e) Public Financial Institutions, f) Colleges affiliated to Universities, g) Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and h) Credit cards/Debit cards issued by Banks.

Proof of Address: Ration card, Passport, Voter ID Card, Driving license, Bank passbook, verified copies of Electricity bills (not more than two months old)/ Residence Telephone bills (not more than two months old)/ Leave and License agreement / Agreement for sale, Self-declaration by High Court & Supreme Court judges, giving the new address in respect of their own accounts, Identity card/document with address, issued by a) Central/State Government and its Departments, b) Statutory/Regulatory Authorities, c) Public Sector Undertakings, d) Scheduled Commercial Banks, e) Public Financial Institutions, f) Colleges affiliated to universities; and g) Professional Bodies such as ICAI, ICWAI, Bar Council etc., to their Members.

Participants must verify the copy of the document with the original.

4. Instructions related to nomination, are as below:

- I. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form.
- II. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
- III. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
- IV. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
- V. Transfer of securities in favour of a Nominee shall be valid discharge by the depository against the legal heir.
- VI. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
- VII. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.

5. Strike off whichever is not applicable.

AGREEMENT BETWEEN THE DEPOSITORY PARTICIPANT AND THE PERSON SEEKING TO OPEN AN ACCOUNT WITH THE DEPOSITORY PARTICIPANT

This agreement made and entered into this _____ day of _____ between _____

situated at _____

_____ (hereinafter called "the Client") and Parag Parikh Financial Advisory Services

Limited (DP ID : IN303446) situated at Great Western Building, 1st Floor, 130-132, S. B. S. Marg, Near Lion Gate, Fort, Mumbai – 400 001. (hereinafter called "The Depository Participant or "DP").



WITNESSETH

WHEREAS the client has furnished to the Depository Participant the duly filled in application form requesting therein to open an account with the Depository Participant.

NOW THEREFORE consideration of the Depository Participant having agreed to open an account for the Client, both the parties to the agreement hereby covenant and agree as follows :

- 1) The Client shall pay such charges to the Depository Participant for the purpose of opening and maintaining his account, for carrying out the instructions of the Client and for rendering such other services as may be agreed to from time to time between the Depository Participant and the Client as set out in Schedule A. The Depository Participant shall reserve the right to revise the charges by giving not less than thirty days notice in writing to the Client.
- 2) The Client shall have the right to get the securities which have been admitted on the Depository dematerialised in the form and manner laid down under the Bye Laws and Business Rules. The Depository Participant further undertakes that it shall not create or permit to subsist any mortgage, charge or other encumbrance over all or any of such securities submitted for dematerialisation except on the instructions of the Client.
- 3) The Depository Participant hereby undertakes that it shall maintain a separate account of its own securities held in dematerialised form with the Depository and shall not commingle the same with the securities held in dematerialised form on behalf of the Client.
- 4) The Depository Participant undertakes that a transfer to and from the accounts of the Client shall be made only on the basis of an order, instruction, direction or mandate duly authorised by the Client and that the Depository Participant shall maintain adequate audit trail of such authorization.
- 5) The Depository Participant agrees that the Client may give standing instructions with regard to the crediting of securities in his account and the Depository Participant shall act according to such instructions.
- 6) The Depository Participant undertakes to provide a transaction statement including statement of accounts, if any, to the Client at monthly intervals unless the Depository Participant and the Client have agreed for provision of such statements at shorter intervals. However, if there is no transaction in the account, then the Depository Participant shall provide such statement to the Client atleast once a quarter.
- 7) The Depository Participant shall have the right to terminate this agreement, for any reasons whatsoever, provided the Depository Participant has given a notice in writing of not less than thirty days to the Client as well as to the Depository. Similarly, the Client shall have the right to terminate this agreement and close his account held with the Depository Participant, provided no charges are payable by him to the Depository Participant. In such an event, the Client shall specify whether the balances in its account should be transferred to another account of the Client held with another Depository Participant or to rematerialise the security balances held. Based on the instructions of the Client, the Depository Participant shall initiate the procedure for transferring such security balances or rematerialise such security balances within a period of thirty days, as per the procedure laid down in the Bye Laws and Business Rules. Provided further, termination of this agreement shall not affect the rights, liabilities and obligations of either party and shall continue to bind the parties to their satisfactory completion.
- 8) On the failure of the Client to pay the charges as laid out in Clause (1) of this agreement within a period of thirty days from the date of demand Depository Participant shall terminate this agreement and close the account of the Client by requiring it to specify whether the balances in its account be transferred to the account of the Client held with another Depository Participant or be rematerialised in the manner specified in the Bye Laws and Business Rules.
- 9) The Client further agrees that in the event of the Client committing a default in the payment of any of the amounts provided in Clause (1) within a period of thirty days from the date of demand, without prejudice to the right of the Depository Participant to close the account of the Client, the Depository Participant may charge interest @ not more than 24% p.a. or such other rate as may be specified by the Executive Committee from time to time for the period of such default. In case the Client has failed to make the payment of any of the amounts as provided in Clause (1) of this agreement, the Depository Participant shall have the right to discontinue the Depository services till such time he makes the payment along with interest, if any, after giving two days notice to the Client.
- 10) The Depository Participant shall have a right to provide such information related to the Client's account as may be requested by the National Securities Depository Limited from time to time.
- 11) The Client shall have the right to create a pledge of the securities held in the dematerialised form with the Depository Participant only in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules.
- 12) The Depository shall not be liable to the Client in any manner towards losses, liabilities and expenses arising from the claims of third parties and from taxes, and other governmental charges in respect of securities credited to the Clients account.





- 13) The Client may exercise the right to freeze his account maintained with the Depository Participant so as to lock the securities held with the Depository Participant in accordance with the procedures prescribed in the Bye Laws and Business Rules.
- 14) The Client may exercise the right to defreeze his account maintained with the Depository Participant in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules.
- 15) The Client shall notify the Depository Participant, within seven days, of any change in the details set out in the application form submitted to the Depository Participant at the time of opening the account or furnished to the Depository Participant from time to time.
- 16) The Depository Participant undertakes to resolve all legitimate grievances of the Client against the Depository Participant within a period of thirty days.
- 17) The Depository Participant and the Client shall abide by the arbitration and conciliation procedure prescribed under the Bye Laws of National Securities Depository Limited and that such procedure shall be applicable to any disputes between the Depository Participant and the Client.
- 18) The Depository Participant and the Client further agree that all claims, differences and disputes, arising out of or in relation to dealings on the Depository including any transactions made subject to the Bye Laws or Business Rules of the Depository or with reference to anything incidental thereto or in pursuance thereof or relating to their validity, construction, interpretation, fulfillment or the rights, obligations and liabilities of the parties thereto and including any question of whether such dealings, transactions have been entered into or not, shall be subject to the exclusive jurisdiction of the courts at Mumbai only.


IN WITNESS WHEREOF

Client and the Depository Participant has caused these presents to be executed as of the day and year first above written.

Signed and Delivered By

First Holder:  _____

Second Holder:  _____

Third Holder:  _____

Witnesses

Signature: _____

Name: _____

Address: _____

Signed and Delivered By

(For and on behalf of
Parag Parikh Financial Advisory Services Limited

Signature: _____

Name: _____

Address: _____

Schedule A - TARIFF CARD

Letter of Confirmation

To,
Parag Parikh Financial Advisory Services Limited (DP ID : IN303446)
 Depository Participant (NSDL)
 Mumbai

Dear Sirs / Madam,



Sub: Opening of an account for holding dematerialized securities

I/We refer to my/our application for opening of a dematerialized securities account (DP account) with **Parag Parikh Financial Advisory Services Limited (DP ID : IN303446)** (hereinafter called "Depository Participant") and execution of the client agreement in connection herewith.

In consideration of your having agreed to enter into the agreement, at our request, we hereby agree and confirm that:

1. Depository Participant as DP will not be liable to us for any action taken or authorized to be taken by it pursuant to the agreement or for any claim, loss, damage or expenses arising in connection with any such action or omission except insofar as the same results from bad faith, willful default or negligence on the part of the Depository Participant.
2. Depository Participant as DP will not be liable or responsible for the loss or damages arising on account of any natural calamities or on account or malicious damages caused on account of any strike, civil commotion, riots, war like events or circumstances beyond the control of Depository Participant.
3. Depository Participant as DP will not be responsible for the title, validity or genuineness of any securities which have been dematerialized and notified ' subsequently by the Registrars of any defects in its title/validity which has resulted in a reduction of the dematerialized holdings of the client and the consequences thereon.
4. I/We undertake to send Depository Participant instructions relating to the transfer of securities atleast 2 business days (excluding Saturday, Sunday and other holidays) prior to the execution date indicated by me/us in the debit instruction. We note that the instructions received by the Depository Participant after this, will be carried out and updated only on "Best Effort" basis. Depository Participant is not liable for any losses arising out of Delivery Instructions accepted on "Best Effort" basis.
5. Depository Participant will not be responsible for any failure as a result of non-receipt or receipt of incomplete/erroneous instructions though received within the stipulated time.
6. All instructions will be signed by me/us or by an authorized person on my/our behalf whose signature has been lodged with Depository Participant along with relevant documents as required by Depository Participant. I/We shall inform Depository Participant about the change in the list of authorized persons and their specimen signatures from time to time.
7. I/We am/are agreeable to pay the fees for the services rendered by Depository Participant as per Schedule of Charges annexed to the client agreement executed by me/us.
8. I/We am/are agreeable for your changes in the rules and procedures and revision in tariff structure from time to time.
9. The particulars given and declarations made by me/us in the account opening form, other declarations are in this letter are true as on the date hereof and any changes will be informed to you immediately. I/We further confirm that Depository Participant is not liable and responsible for any incorrect information given to Depository Participant nor for any false declaration furnished to Depository Participant and the consequential effects thereon.
10. I/We have read and understood the rules and regulations pertaining to the Depository and Depository Participants in connection with opening and operating the DP accounts.
11. I/We authorise Depository Participant to issue/re-issue a delivery instruction booklet through Post or Courier or as per your standard practice. The courier charges can be debited from my account.
12. I/We also agree that, my/our not following the terms and conditions the Depository Participant reserves the right to discontinue execution of any instructions in my/our account.
13. I/We are aware that the execution date and the ISIN details should be filled in by the account holders.
14. I/We are aware that the delivery instructions which do not comply with NSDL instructions are liable to be rejected.
15. I/We are aware that the Lost/Misplaced slips /books should be brought to the notice of DP, within 24 hours
16. I/We are aware that requisition slip available in the Delivery instruction booklet will have to be submitted when requesting for a new DIS booklet. The requisition slip will have to be signed by all the account holders or by an authorised person.
17. I/We hereby declare that purchase or sale of shares done or to be done by me/us through **Parag Parikh Financial Advisory Services Limited**, If any dues lying with any of the exchange in my code or in my Demat account, I authorise you to retain my shares till the clearance of the dues.
18. I/We have no objection for received Transaction/Holding Statement & Monthly Billing Statement through e -mail. My/Our Email Id is/are : _____.

(To be signed by the applicant)

Sole/First Holder	Second Holder	Third Holder
(1)	(2)	(3)
		

AGREEMENT BETWEEN THE PARTICIPANT AND THE CLIENT FOR PROVIDING THE TRANSACTION STATEMENT THROUGH INTERNET

This agreement made and entered into this _____ day of _____ between _____ situated at _____ (hereinafter called the "Client") and **Parag Parikh Financial Advisory Services Limited (DP ID:IN303446)**, situated at Great Western Building, 1st Floor, 130-132, S B S Marg, Near Lion Gate, Fort, Mumbai - 400 001.(hereinafter called "The Depository Participant" or "DP")



WITNESSETH




WHEREAS the Client has permitted the participant to provide the transaction statement through internet (web-based and/or email).

NOW THEREFORE in consideration of the Participant having agreed to provide the transaction statement through internet (web-based and/or email), both the parties to the agreement hereby covenant and agree as follows :

- i) The Participant shall install adequate systems to restrict the access of the transaction statement only to the Client.
- ii) The Client shall take all the necessary steps to ensure confidentiality and secrecy of the login name and password.
- iii) The Client is aware that the transaction statement may be accessed by other entities in case the confidentiality / secrecy of the login name and password is compromised.
- iv) In case the Client opts for transaction statement through email, it shall immediately inform the Participant about change in email address, if any. The Participant shall verify and confirm with the Client about the change. Further, the Participant shall confirm with the Client about validity of the email address atleast once in a year.
- v) The Client agrees not to receive Transaction Statement in paper form from the Participant. Provided however that, in case when the Participant is not able to provide Transaction Statement to its Clients through internet (web-based / email) due to any unforeseen problems, the Participant should ensure that the Transaction Statement reaches to the Client in physical form as per the time schedule stipulated in the Bye Laws & Business Rules of NSDL.
- vi) The Participant and the Client shall have the right to terminate this agreement after giving a notice of atleast 10 days to the other party.
- vii) The above terms and conditions are in addition to and not in contravention of the terms and conditions forming a part of the "AGREEMENT BETWEEN THE PARTICIPANT AND THE PERSON SEEKING TO OPEN AN ACCOUNT WITH THE PARTICIPANT" signed by the Client at the time of opening the account with the Depository.

IN WITNESS WHEREOF the Participant and the Client has caused these presents to be executed as of the day and year first above written.

Signed and Delivered By

First Holder:  _____
Second Holder:  _____
Third Holder:  _____

Witnesses

Signature: _____
Name: _____
Address: _____

Signed and Delivered By

(For and on behalf of

Parag Parikh Financial Advisory Services Limited

Signature: _____

Name: _____

Address: _____

Authorisation For Debiting The DP Charges

I/We _____ maintaining Broking and Demat account with Parag Parikh Financial Advisory Services Limited (**DP ID : IN303446**), hereby authorise Parag Parikh Financial Advisory Services Limited (Clearing Member) to debit my account towards and to the extent of DP charges as agreed between me/us and the company.

Broker Client ID : _____

DP Client ID : _____

Signed and Delivered By the within

First Holder: _____

Second Holder: _____

Third Holder: _____



Acknowledgment



Sr No.

Parag Parikh Financial Advisory Services Limited

Great Western Building, 1st Floor, 130-132, Shahid Bhagat Singh Marg, Near Lion Gate, Fort, Mumbai - 400 001. INDIA.
Tel. : 91 22 2284 6555 (7 Lines) 2284 2002 Fax : 91 22 2284 6553 Email : dpservices@ppfas.com Web site : www.ppfas.com

DP ID: IN303446

Accepted/Rejected the application from M/s _____ as the sole/first holder along with _____ and _____ as the second and third holders respectively for opening of a depository account. Your Client Id/CM-BP-Id (in case of Clearing Members) will be intimated to you shortly on acceptance. Please quote the DP Id & Client Id allotted to you (CM-BP-Id in case of Clearing Members) in all your future correspondence.

Date: _____

Participant Stamp & Signature

POWER OF ATTORNEY SETTLEMENT

TO ALL TO WHOM THESE PRESENTS SHALL COME I/WE _____
 _____ (name of the BO), Indian inhabitant. Whereas I hold a Beneficiary account no. _____ (BO-ID) with National Securities Depository Ltd., through Parag Parikh Financial Advisory Services Ltd. at Great Western Building, 1st floor, 130/132, S. B. S. Marg, Opp. Lion Gate, Fort, Mumbai- 400 001. (Name of the Depository Participant) bearing **DP ID : (IN303446)**.

And Whereas I/We am/are an investor engaged in buying and selling of securities through Parag Parikh Financial Advisory Services Ltd. having registered office at 103, Veena Chambers, 21, Dalal Street, Fort, Mumbai-400 001. & Corporate office at Great Western Building, 1st floor, 130-132, S B S Marg, Near Lion Gate, Fort, Mumbai- 400 001. (Name of the Clearing Member), a member of Bombay Stock Exchange (BSE) bearing Registration No. BSE INB010996735 & National Stock Exchange Ltd (NSE) bearing SEBI Registration No. NSE INB230601137, INF230601137.




And Whereas due to exigency, I/We am/are desirous of appointing an agent/attorney to operate the aforesaid beneficiary account on my/our behalf for a limited purpose in the manner hereinafter appearing:

I/We the above mentioned do here by nominate, constitute and appoint Parag Parikh Financial Advisory Services Ltd. (Clearing Member) as true and lawful attorney to carry out the following instructions for me/us and on my/our behalf and in my/our name.

To do instruct the aforesaid Depository Participant to debit securities and /or to transfer securities from the aforesaid account to any other account to the extent of shares sold through Parag Parikh Financial Advisory Services Ltd, (Clearing Member) for the purpose of delivering the same to the clearing house of the recognized stock exchange in respect of securities sold by me/us through them towards all pay in obligations and for margins both in cash and derivatives segment.

And I/We hereby agree and confirm that the power and authorities conferred by this power of attorney shall continue until reasonable notice given by me/us in writing to the contrary.

SIGNED AND DELIVERED (By the within named Beneficial Owner)

Name(s) of Holder(s)	Signautre(s) (BLACK INK ONLY)
Sole Holder (Mr./ Ms.)	
Second Holder (Mr./ Ms.)	
Third Holder (Mr./ Ms.)	

IN THE PRESENCE OF

Witness Name: _____

Address: _____

Signature: _____

We Accept

For **PARAG PARIKH FINANCIAL ADVISORY SERVICES LTD.**



There's only one right way®

Parag Parikh Financial Advisory Services Limited

DP ID : IN303446

Great Western Building, 1st Floor, 130-132, Shahid Bhagat Singh Marg, Near Lion Gate, Fort, Mumbai - 400 001. INDIA.
Tel. : 91 22 2284 6555 (7 Lines), Dir. Line : 91 22 22842002, Fax : 91 22 2284 6553 Email : dpervices@ppfas.com Web site : www.ppfas.com

Schedule "A" - Tariff Card

Sr No.	Activity	Charges
1.	Account Opening	NIL
2.	Agreement Charges	Stamp Charges Rs. 110/-
3.	Dematerialisation	Rs 3/- per Certificate + Rs. 30/- Per request + Rs. 35/- Courier Charges
4.	Rematerialisation	Rs 15/- per Certificate + Rs. 30/- Per request + Rs. 35/- Courier Charges
5.	Transaction (Market & Off Market)	
	Buy	NIL
	Sell & Off Market Transfer	Flat Rs. 13/- Per ISIN
6.	Account Maintenance Charges	Rs. 500/- per annum non-refundable, payable along-with account opening form for the 1st Year and start of every financial year.
7.	Pledge/Hypothecation (Creation and Closure Charges)	Flat Rs. 35/- Per ISIN
8.	Pledge/Hypothecation (Invocation Charges)	Flat Rs. 35/- Per ISIN

Notes:

- Bill will be payable monthly
- Dematerialisation Rejection Charges Rs. 20/- along with Rs.35/- Courier Charges per ISIN.
- Cheque dishonour charges Rs. 350/-
- Failed Instruction Charges Rs. 13/- Per ISIN
- Late Submission Charges Rs.20/- Per ISIN.
- Valuation of Securities will be as per price on day to transaction.
- The charges are subject to revision at the Dp's sole discretion.
- The above charges are exclusive of service tax which is chargeable as applicable.
- POA Charges : Rs. 170/-

(To be signed by the applicant)

Sole/First Holder	Second Holder	Third Holder
(1) 	(2) 	(3) 

