

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U67190MH1992PLC068970

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AABCP9117F

(ii) (a) Name of the company

PARAG PARIKH FINANCIAL ADI

(b) Registered office address

81/82, 8th Floor, Sakhar Bhavan, Ramnath Goenka Marg, 230,  
Nariman Point, NA  
Mumbai  
Mumbai City  
Maharashtra  
400021

(c) \*e-mail ID of the company

RAJDEEPKJ@PPFAS.COM

(d) \*Telephone number with STD code

912261406555

(e) Website

(iii) Date of Incorporation

12/10/1992

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(b) CIN of the Registrar and Transfer Agent

Pre-fill

Name of the Registrar and Transfer Agent

Registered office address of the Registrar and Transfer Agents

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)(viii) \*Whether Annual general meeting (AGM) held  Yes  No(a) If yes, date of AGM (b) Due date of AGM (c) Whether any extension for AGM granted  Yes  No**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**\*Number of business activities 

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)**\*No. of Companies for which information is to be given 

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	PPFAS ASSET MANAGEMENT P +	U65100MH2011PTC220623	Subsidiary	100
2	PPFAS TRUSTEE COMPANY PRI +	U65100MH2011PTC221203	Subsidiary	100

**IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY****(i) \*SHARE CAPITAL**

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	30,000,000	7,668,074	7,668,074	7,668,074
Total amount of equity shares (in Rupees)	300,000,000	76,680,740	76,680,740	76,680,740

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity shares				
Number of equity shares	30,000,000	7,668,074	7,668,074	7,668,074
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	300,000,000	76,680,740	76,680,740	76,680,740

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
<b>At the beginning of the year</b>	193,538	7,461,748	7655286	76,552,860	76,552,860	
<b>Increase during the year</b>	0	12,788	12788	127,880	127,880	1,790,320
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0

iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	12,788	12788	127,880	127,880	1,790,320
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify				0	0	
NIL						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
NIL						
<b>At the end of the year</b>	193,538	7,474,536	7668074	76,680,740	76,680,740	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify				0	0	
NIL						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
Nil						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

INE0FGC01012

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		29/09/2022	
Date of registration of transfer (Date Month Year)			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

Date of registration of transfer (Date Month Year)				<input type="text"/>			
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Type of transfer		<input type="text"/>		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock			
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Number of Shares/ Debentures/ Units Transferred		<input type="text"/>		Amount per Share/ Debenture/Unit (in Rs.)		<input type="text"/>	
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Ledger Folio of Transferor				<input type="text"/>			
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Transferor's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

Ledger Folio of Transferee				<input type="text"/>			
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Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

**(iv) \* Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

3,757,935

**(ii) Net worth of the Company**

555,411,621

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	5,911,096	77.09	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	350,000	4.56	0	
10.	Others	0	0	0	
	<b>Total</b>	6,261,096	81.65	0	0

**Total number of shareholders (promoters)**

6

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,382,978	18.04	0	
	(ii) Non-resident Indian (NRI)	10,000	0.13	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	14,000	0.18	0	
10.	Others	0	0	0	



	<b>Total</b>	1,406,978	18.35	0	0
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**Total number of shareholders (other than promoters)**

199

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

205

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	4	6
Members (other than promoters)	198	199
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**






**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	0	2	1	1	0	0
<b>B. Non-Promoter</b>	0	4	2	3	0	0
(i) Non-Independent	0	3	2	2	0	0
(ii) Independent	0	1	0	1	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	0	6	3	4	0	0

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**


8

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SAHIL PARAG PARIKH 	00079898	Director	56,111	
NEIL PARAG PARIKH	00080269	Director	70,859	
SUNEEL RASHMIKANT 	00227484	Director	33,104	
RAJEEV NAVINKUMAR 	00227548	Director	433,899	
SHASHI MENGHRAJ K 	07983714	Director	28,357	
DIPTI NEELAKANTAN	00505452	Director	0	
HITESH GAJARIA	10044310	Additional director	51,000	
RAJDEEP KIRITSINH J 	AOMPJ4047E	Company Secretary	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
HITESH GAJARIA	10044310	Additional director	27/02/2023	Appointment
RAJDEEP KIRITSINH J 	AOMPJ4047E	Company Secretary	04/10/2022	Appointment

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS****A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual general meeting	29/09/2022	205	5	2.1

**B. BOARD MEETINGS**

\*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	23/05/2022	6	5	83.33
2	24/08/2022	6	6	100
3	03/10/2022	6	5	83.33
4	15/12/2022	6	5	83.33
5	27/02/2023	6	6	100

### C. COMMITTEE MEETINGS

Number of meetings held

1

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Allotment Com	26/09/2022	3	3	100

### D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	SAHIL PARAG	5	4	80	0	0	0	
2	NEIL PARAG	5	5	100	1	1	100	
3	SUNEEL RAS	5	4	80	0	0	0	
4	RAJEEV NAV	5	5	100	1	1	100	
5	SHASHI MEN	5	5	100	1	1	100	
6	DIPTI NEELAI	5	4	80	0	0	0	
7	HITESH GAJA	0	0	0	0	0	0	

### X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of other directors whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	DIPTI NEELAKANT	DIRECTOR	0	0	0	100,000	100,000
2	SUNEEL RASHMIK	DIRECTOR	0	0	0	100,000	100,000
3	SAHIL PARAG PAF	DIRECTOR	0	0	0	100,000	100,000
	Total		0	0	0	300,000	300,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow

Associate  Fellow

Certificate of practice number

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

DIN of the director

**To be digitally signed by**

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

<b>Attach</b>
<b>Attach</b>
<b>Attach</b>
<b>Attach</b>

**List of attachments**

<a href="#">PPFAS List of Shareholders 31032023.pdf</a> <a href="#">PPFAS SharesTransmissionFY2022-23.pdf</a>
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**Remove attachment**

Modify

Check Form

Prescrutiny

Submit

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**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

## List of Shareholders as on date 31/03/2023

S.No.	Name of the ShareHolder	Total SharesHeld	% of Equity
1	GEETA PARAG PARIKH	5777790	75.35
2	RAJEEV NAVINKUMAR THAKKAR	433899	5.66
3	EMPEEGEE PORTFOLIO MANAGEMENT SERVICES PVT LT	350000	4.56
4	SURESH GORDHANDAS MAKHIJA	82500	1.08
5	NEIL PARAG PARIKH	70859	0.92
6	RAUNAK ONKAR	62850	0.82
7	SAHIL PARAG PARIKH	56111	0.73
8	SURESH G MAKHIJA	50000	0.65
9	RAJ KIRITKUMAR MEHTA	2000	0.03
10	AALOK RAMESH MEHTA	40460	0.53
11	HITESH DHARMASINH GAJARIA	51000	0.67
12	MAHESH VISHVANATH SARODE	33750	0.44
13	SUNEEL R GAUTAM	33104	0.43
14	SHASHI M KATARIA	28357	0.37
15	YESHWANT MANIBHAI DESAI	20000	0.26
16	VAIBHAV SUNIL KAPUR	19107	0.25
17	JIGNESH CHANDRAKANT DESAI	18750	0.24
18	PRIYA ASHOK HARIANI	16250	0.21
19	MUKULKUMAR GUPTA	15000	0.20
20	PORINJU VELIYATH	15000	0.20
21	RAJU HARISHBHAI SHELAT	14250	0.19
22	JAYANT RAMNATH PAI	13750	0.18
23	SHAIENDRA RAMLAL PANDEY	12500	0.16
24	NEETA MILAN SHUKLA	11250	0.15
25	USHA DALSUKBHAI GALIA	11250	0.15
26	DIPTI H MEHTA	10200	0.13
27	HARESH G MEHTA	10100	0.13
28	AJITAKSHAY BHANDoola	10000	0.13
29	SANJAY BAKULCHANDRA SHROFF	10000	0.13
30	GADDAM PRITHVINATH REDDY	9500	0.12
31	JAIMINIBEN DILIPKUMAR DESAI	8000	0.10
32	ROHIL AMIT GANDHI	7500	0.10
33	YASHODHAN HARSHAD NERURKAR	7500	0.10
34	ABHISHEK PAWAN GOENKA	7500	0.10
35	ASHOK DATTATRAYA WALIMBE	6310	0.08
36	MOXABEN SHAH	6300	0.08
37	VYAS MANSI H	6250	0.08
38	BELA AMBRISH MAHADEVIA	6000	0.08
39	BIMAL S GANDHI	6000	0.08
40	E'L DORADO INVESTMENTS COMPANY P. LTD	6000	0.08
41	ASHOK PANDURANG KERKAR	6000	0.08
42	PALAK KEKUL DALAL	5750	0.07
43	VINAY V TELI	4700	0.06
44	HRUSHIKA NIRAV PATEL	5200	0.07
45	MANOJ M DESAI	5000	0.07
46	SONAKSHI SUNIL MAHENDRA	5000	0.07
47	ABHIMANYU JAGDISH THACKERSEY	12500	0.16
48	HRISHIKESH JAGDISH THACKERSEY	12500	0.16
49	SUNAL P NANAVATI	5000	0.07
50	RADHESHYAM SAHANI	4000	0.05
51	NIYANTA AMBRISH MAHADEVIA	4800	0.06
52	RUKUN R TARACHANDANI	6807	0.09
53	MAKWANA YATIN SURESHBHAI	4400	0.06
54	NANDAN SATISH MADHIWALLA	4300	0.06



S.No.	Name of the ShareHolder	Total SharesHeld	% of Equity
55	ANJANI VIRENDRAPRASAD MAHADEVIA	4000	0.05
56	RITA SHARAD SHAH	4000	0.05
57	SHARAD KANTILAL SHAH	4000	0.05
58	SHODHAN BALUBHAI SHAH	4000	0.05
59	SRIVENK INVESTMENTS LTD	4000	0.05
60	SANJAY PUNAMCHAND SHAH	4000	0.05
61	RANBIR DIWANSINGH NAYAL	4000	0.05
62	YASHIN A MAHADEVIA	3750	0.05
63	GAUTAM J THADANI	4000	0.05
64	NARENDRA PHULCHAND KALA	3000	0.04
65	YASHIN AMBRISH MAHADEVIA	3000	0.04
66	KHUSHBOO SUDHIR JOSHI	4800	0.06
67	TRUPTI DESAI	2214	0.03
68	ANAND JAYANT VASHI	2200	0.03
69	ANURADHA NITIN LODHA	2200	0.03
70	HIROO THADANI	2200	0.03
71	USHABEN R. SHAH	2200	0.03
72	ARUNA T	2100	0.03
73	KANTABEN KANTIBHAI PATEL	2100	0.03
74	LALITKUMAR MANDLECHA	2100	0.03
75	MAYA LALITKUMAR MANDLECHA	2100	0.03
76	MUDAR PATHERYA	2100	0.03
77	NADIRA PATHERYA	2100	0.03
78	SUKHDIA HIREN SUBHASHCHANDRA	2100	0.03
79	USHMA MAYANK SHAH	2100	0.03
80	PUSHPA KHEMKA	2100	0.03
81	PRABHAT KHEMKA	2100	0.03
82	ANITA AGARWAL	2000	0.03
83	ANTONY SEQUEIRA	2000	0.03
84	ASHIT SURYAKANT PARIKH	2000	0.03
85	ANUJ IMPEX PRIVATE LIMITED	2000	0.03
86	BELA AGARWALA	2000	0.03
87	C.P.POULOSE	2000	0.03
88	DILIP DWARKADAS UDESHI	2000	0.03
89	G.SUBRAMANYAM	2000	0.03
90	JAYASHREE PRAKASH OZA	2000	0.03
91	JEAN SEQUEIRA	2000	0.03
92	KIRIT N BHATT	2000	0.03
93	KIRTIKANT J DHOLAKIA	2000	0.03
94	KULVINDER SINGH	2000	0.03
95	MANJIT KAUR	2000	0.03
96	MULTIPLUS CAPITAL MANAGEMENT PVT.LTD	2000	0.03
97	REKHA AGARWAL	2000	0.03
98	RAJESH KANUBHAI SHAH	2000	0.03
99	REKHA RAJENDRA DHOLAKIA	2000	0.03
100	RAGINI BANSI BARFIWALA	2000	0.03
101	SANDHYA JALAN	2000	0.03
102	SANJAY M SHAH	2000	0.03
103	SIBI JOSEPH	2000	0.03
104	SUDHA KIRIT BHATT	2000	0.03
105	USHADEVI AGARWALA	2000	0.03
106	MANSI RIKI KARIYA	2000	0.03
107	MADHAVI DINESHCHANDRA DEY	2000	0.03
108	SHEETAL DUDA DHARIYA	2000	0.03
109	PRIYANKA PRABHULAL YADAV	2000	0.03
110	RATNAVATHI ARUN PUTHRAN	2000	0.03
111	BHAVYA NITIN SHAH	2000	0.03
112	LUNAR CHANDRAKANT SUTAR	2000	0.03



**Parag Parikh Financial Advisory Services Limited****Regd. & Corp. Office:** 81/82, 8th Floor, Sakhar Bhavan, Ramnath Goenka Marg, 230, Nariman Point, Mumbai - 400 021, Maharashtra, INDIA.**T:** 91 22 6140 6555 **F:** 91 22 2284 6553 **W:** www.ppfas.com **CIN NO :** U67190MH1992PLC068970

S.No.	Name of the ShareHolder	Total SharesHeld	% of Equity
113	VIVEK VILAS PATANKAR	2000	0.03
114	INDRESH VISHWANATH YADAV	2000	0.03
115	DEEPALI RATHORE	2000	0.03
116	HEMANT RAMESH BHANDARE	2000	0.03
117	SITANSHI SAHIL PARIKH	1536	0.02
118	NEHAL MEHTA	1507	0.02
119	ROHIT ANUPCHAND SHAH	1500	0.02
120	MOHANLAL JAIN	1107	0.01
121	A.SANKARNARAYAN	1000	0.01
122	SRINIVASA RAO ACHANTA	1000	0.01
123	ALARKAN M	1000	0.01
124	ALKA J JOSHI	1000	0.01
125	ALPA MUKESH PATEL	1000	0.01
126	ASHOK RATILAL SHAH	1000	0.01
127	BRIJINDER KAUR	1000	0.01
128	CHINTAN JOSHI	1000	0.01
129	CHINUBHAI T PATEL	1000	0.01
130	CLARA LASRADO	1000	0.01
131	DINESH KANABAR	1000	0.01
132	DUSHYANT PUNWANI	1000	0.01
133	HARIKRISHNA SHAH	1000	0.01
134	HASMUKH GANDHI	1000	0.01
135	HEMANT C SHAH	1000	0.01
136	HEMLATA SHAH	1000	0.01
137	HETAN GORDHANBHAI PATEL	1000	0.01
138	INDIRA RAJNIKANT DHOLAKIA	1000	0.01
139	JANAK BALWANT NAIK	1000	0.01
140	JASBIR SINGH GILL	1000	0.01
141	JITENDRA G JOSHI	1000	0.01
142	KALPANA JOSHI	1000	0.01
143	KAMLESH M PATEL	1000	0.01
144	KIRAN R DOSHI	1000	0.01
145	KETKI NITESH SHAH	1000	0.01
146	KAMLA SHANTILAL SAMDADIYA	1000	0.01
147	LALITKUMAR MANDLECHA	1000	0.01
148	LANCELOT LASRADO	1000	0.01
149	LEELAVATI BHANDARI	1000	0.01
150	MADHUMALTI BALWANT NAIK	1000	0.01
151	MADHURIMA GUPTA	1000	0.01
152	MALA VIVEK UPADHYAYA	1000	0.01
153	SHAKUNTALA MANISH PALOD	1000	0.01
154	MEENA NARESH PATEL	1000	0.01
155	NARESH K PATEL	1000	0.01
156	NIKHIL BORA	1000	0.01
157	NIRUBEN D PATEL	1000	0.01
158	PARESH AMRATLAL NAGDA	1000	0.01
159	PRAKASH L CHHABRIA	1000	0.01
160	PRAMOD SHAH	1000	0.01
161	P.VASUDEVAN	1000	0.01
162	RAJARAM YANAMANDRA GURUVENKATA	1000	0.01
163	RAJEEV C PATEL	1000	0.01
164	RAJENDRA NANIWADEKAR	1000	0.01
165	RAJESH KUNDNANI	1000	0.01
166	RAJNIKANT JEYCHANDBHAI DHOLAKIA	1000	0.01
167	RAMESH RANGACHARI	1000	0.01
168	RAJENDRA JEYCHANDBHAI DHOLAKIA	1000	0.01
169	SANGEETA D SHAH	1000	0.01
170	SANGRAMSINH P. GAEKWAD	1000	0.01

S.No.	Name of the ShareHolder	Total SharesHeld	% of Equity
171	SARABJIT SINGH GILL	1000	0.01
172	SURESHBHAI V SHAH	1000	0.01
173	SUSAMMA JOHN	1000	0.01
174	SUSEELA VASUDEVAN	1000	0.01
175	SANGEETA D SHAH	1000	0.01
176	SHILAN THAKER	1000	0.01
177	TARUN JAIN	1000	0.01
178	USHA DILIP JANI	1000	0.01
179	VARSHA S SHAH	1000	0.01
180	YATIN BHOGILAL SHAH	1000	0.01
181	YESHWANT GANDHI	1000	0.01
182	PRANAV NIMESH CURUMSEY	1000	0.01
183	AJAY K SHAH	500	0.01
184	BHARAT SHAH	500	0.01
185	CHIMANLAL GANDHI	500	0.01
186	GOPAL C PATEL	500	0.01
187	JAYDEEP D THAKKAR	500	0.01
188	LEKHA K	500	0.01
189	SANTHOSH LODHA	500	0.01
190	SHAH SANJAY	500	0.01
191	ADITI AVINASH PANDERE	500	0.01
192	MONA SANJAY SHAH	400	0.01
193	KAMAL KISHOR PARIHAR	300	0.00
194	P.R.SREEMAN	300	0.00
195	RAJESH ANAND CHAUDHARY	300	0.00
196	HIROO G THADANI	2216	0.03
197	NIKHIL R SHAH	100	0.00
198	NIKHIL JAYSINH BHATIA	100	0.00
199	RIZWANA ABDULLA RANGWALA	100	0.00
200	SUREKHABEN M SHAH	100	0.00
201	PARMINDER SINGH SAINI	100	0.00
202	RAMISETTY VENKATARAMANA	100	0.00
203	ASOKAN ABIMANUE	100	0.00
204	DRASTI CHAUHAN	140	0.00
205	PRIYANKA MANGESH SHETYE	100	0.00
	<b>TOTAL</b>	<b>7668074</b>	<b>100</b>

For PARAG PARIKH FINANCIAL ADVISORY SERVICES LTD

sd/-

Rajdeep Jadeja  
Company Secretary & Compliance Officer

## PARAG PARIKH FINANCIAL ADVISORY SERVICES LTD

## LIST OF SHARE TRANSMISSION FOR THE YEAR 2022-23

S.No.	Date of Transmission	Transferor		No. of Shares	TRANSFeree	
		Name & Address	Folio No.		Name And Address	Folio No.
1	23/05/2023	RMESH P SHAH 318,JAWAHAR NAGAR, 'BLOCK NO.9, GOREGOAN (W). MUMBAI- 400062	R000015	2,000	MONA SANJAY SHAH 318,JAWAHAR NAGAR, 'BLOCK NO.9, GOREGOAN (W). MUMBAI- 400062	M0000021
2	23/05/2023	SHANTILAL SAMADIYA J/W KAMLA SAMADIYA MAIN ROAD.AT POST. YEOLA.NASIK (DIST)423401	S0000021	1,000	KAMLA SAMADIYA MAIN ROAD.AT POST. YEOLA.NASIK (DIST)423401	K0000026
3	23/05/2023	BANSI BARFIWALA J/W RAGINI BARFIWALA. 10, SURYA DARSHINI, 44/50, WALKESHWAR ROAD,MALABAR HILL, MUMBAI - 400006	B0000001	1,000	RAGINI BARFIWALA. 10, SURYA DARSHINI, 44/50, WALKESHWAR ROAD,MALABAR HILL, MUMBAI - 400006	R0000039
4	23/05/2023	RAGINI BARFIWALA J/W BANSI BARFIWALA 10, SURYA DARSHINI, 44/50, WALKESHWAR ROAD,MALABAR HILL, MUMBAI - 400006	B0000002	1,000	RAGINI BARFIWALA. 10, SURYA DARSHINI, 44/50, WALKESHWAR ROAD,MALABAR HILL, MUMBAI - 400006	R0000039
5	15/12/2023	MANISH PALOD J/W ASHISH PALOD. STATION ROAD, GANGAPUR GIN PRESS AHMEDNAGAR- 414001	M0000008	1,000	SHAKUNTALA MANISH PALOD. STATION ROAD, GANGAPUR GIN PRESS AHMEDNAGAR- 414001	S0000062